Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-OCT-22** 

of M/s. Mohammed Hussain Ismail Securities (Pvt.

Submission Date 02-NOV-2022 12:12:19

			Page	1 Of 3
S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
1	Assets			
1.1	Property & Equipment	54,840	54,840	
1.2	Intangible Assets Investment in Govt. Securities	3,104,000	3,104,000	
1.3	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	<ul> <li>ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.</li> <li>iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.</li> </ul>			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for respective securities whichever is higher.	35,254,963	5,393,288	29,861,675
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
1.6	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017) Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or any other entity.	3,760,000	3,760,000	
1.9 1.10	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.			
1.11	Other deposits and prepayments	505,921	505,921	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.	876,000	0	876,000
1.14	Amounts receivable against Repo financing.	010,000		010,000
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS			
	ii. Advance tax to the extent it is netted with provision of taxation.			
1.16	iii. Receivables other than trade receivables Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains.			
1.17	ii. Receivable on entitlements against trading of securities in all markets including MtM gains. Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.			
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based			
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts. vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances			
	i. Bank Balance-proprietory accounts	6,057,161	0	6,057,161
	ii. Bank balance-customer accounts	4,576	0	4,576

Schedule III [See regulation 6(4)]

## Liquid Capital Statement

for the month of **31-OCT-22** 

of M/s. Mohammed Hussain Ismail Securities (Pvt.

Submission Date 02-NOV-2022 12:12:19

B.100         Black Of Account         Value in Pack Russes         Bate OUT Pack Russes         Bate OUT Pack Russes         Ret Pack Pack Pack Russes           11         In Control in hund         46617.461         12.018.046         38.796.412           13         Toold Packs         46617.461         12.018.046         38.796.412           14         Toold Packs         1		Submission Date 02-100 V - 2022 12:12:19		Page	2 Of 3
110       Index Seels       40.617.461       12.818.640       36.700.412         2       Index Payables       1       1       12.818.640       36.700.412         2.1       Index Payables       1       1       1       1.818.640       1         2.2       Current Index Index payables       1	S.No.	Head of Account			
2         Index Provides         1           3.1         Tode Physicles         1           3.1         Tode Physicles         1           3.1         Tode Physicles         1           3.2         Tode Physicles         1           3.2         Connect LickHilles         1           3.2         Connect LickHilles         1           3.2         Connect LickHilles         1           4.2         Connect LickHilles         1           4.3         Connect LickHilles         1           4.3         Connect LickHilles         1           4.4         Connect LickHilles         1           4.5         Connect LickHilles         1           4.6         Connect LickHilles         1           4.7         Non-Connect LickHilles         1           4.6         Connect LickHilles         1           4.7         Doed Dials Connect Connec					
21       Trade Payables       Intervent of cleant phase         22       Correct LeadIngs       Intervent phase         23       Correct LeadIngs       Intervent phase         24       Correct LeadIngs       Intervent phase         25       Correct LeadIngs       Intervent phase         26       Correct LeadIngs       Intervent phase         27       Correct LeadIngs       Intervent phase         28       Correct LeadIngs       Intervent phase         29       Correct LeadIngs       Intervent phase         20       Non-Correct LeadIngs       Intervent phase         20       Non-Correct LeadIngs       Intervent phase         20       Non-Correct LeadIngs       Intervent phase         21       Non-Correct LeadIngs       Intervent phase         22       Non-Correct LeadIngs       Intervent phase         23       Non-Correct LeadIngs       Intervent phase         24       Non-Correct LeadIngs       Intervent phase         25       Non-Correct LeadIngs       Intervent phase         26       Non-Correct LeadIngs       Intervent phase         27       Non-Correct LeadIngs       Intervent phase         28       Scorecth LeadIngs       Intervent phase<			49,617,461	12,818,049	36,799,412
In Payable to exchange and clearing power         In Payable to cutoting           In Payable to cutoting         In Payable to cutoting           In Payable to cutoting payable to cutoting         In Payable to cutoting           In Payable to cutoting payable to cutoting         In Payable to cutoting      <					
I. Psyable sagent levelaged maker products         Image: Segment in cardiomer           22         Cardio cardiomer         Image: Segment cardiomer           23         Cardio cardiomer         Image: Segment cardiomer           1         Accounting and resplayed due         7.168         0           1         Accounting and resplayed due         7.168         0           1         Segment cardiomer subophraded latence         Image: Segment cardiomer subophraded latence         Image: Segment cardiomer subophraded latence           1         Defined Latellines         Image: Segment cardiomer subophraded latence         Image: Segment cardiomer subophraded latence           2         Non-Count Labellines         Image: Segment cardiomer subophraded latence         Image: Segment cardiomer subophraded latence           2         Non-Count Labellines         Image: Segment cardiomer subophraded latence         Image: Segment cardiomer subophraded latence           1         Advance against struture for the compary has approved the increase in capital         Image: Segment cardiomer subophraded latence           1         Advance against struture for the compary has approved the increase in capital         Image: Segment cardiomer subophraded latence           1         Advance against struture for the compary has approved the increase in capital         Image: Segment cardiomer subophraded latence           2 <t< td=""><td>Z.1</td><td></td><td></td><td></td><td></td></t<>	Z.1				
22       Current Liabilities       7.188       0       7.188         1. Status and other parables       7.188       0       7.188       0       7.188         1. Status and other parables       0       <		ii. Payable against leveraged market products			
Listury and regulatory dates         7.168         0           I. Accuration of other payeliables         7.168         0           I. Short term bornwing         0         0           V. Current Lisburg         0         0           I. Decision of subording to the subording to main current to the financial statements         0           I. Decision of the subording to the subording to main current to the financial statements         0           I. One-Current Lisburg         0         0         0	2.2				
II. Acctuak and other payalles       7,168       0       7,168         III. Acctuak and other payalles       7,168       0       7,168         III. Bother Lemb Borowing       1       1       1         V. Current Leptition of tools term list-listes       1       1         V. Different Borowing       1       1       1         V. Different Borowing       1       1       1       1         V. Current List-listes       1       1       1       1       1         V. Different Borowing       1	2.2				
b. Current potion of subcrimited bars		ii. Accruals and other payables	7,168	0	7,168
V. Current portion of long term liabilities     V. Derived Labilities     V. Derived Labili					
v. Defend Liabilities         v. Defend Liabilities           vii. Providen for transation         vii. A monitor for build debits           23         Non-Current Liabilities           24. The control Line of the set of the					
will Provisen for latabilities.         Image: Control of the provide of the state of the		vi. Deferred Liabilities			
is. Other labilities as per accounting principles and included in the financial statements					
1         Long-Term financing					
a. Long-Term financing obtained from financial institution: Long term patition of financing obtained from            b. Other form. Cerm financing            b. Staff intermedited institution (use against finance) lease            c. Staff intermedited institution (use against finance) lease            c. Staff intermedited institution (use against finance) lease            c. Betwing authorized share capital allows the proposed enhanced share capital            c. Relevant Regulatory approvals have been obtained            d. Interest in our mesonable delay in issue of shares against during cances of capital            v. Audition is staffied that such advance is against the increase in capital            v. Other liabilities as per accounting principles and included in the financial statements            e. Auditi statified that such advances is against the increase of capital            v. Other liabilities as per accounting principles and included in the financial statements            2.4         Suboordinated loars which fulfil the conditions specified by SCP are allowed to be deducted:         10,000,000           The state with the adjust statements and the state adjust statements             2.4         Suboordinated loars which fulfil the conditions specified by SCP            10000.000         10,000,000	2.3				
a fitnatical institution including amount due against finance lease					
b. Other Long-term financing         b. Starting interment benefits           b. Starting interment benefits         b. Starting interment benefits           iii. Advance against shares for Increase in Capital of Securities boker. 100% halicut may be allowed in respect of advance against shares for increase of Capital         b. Bete asking authorized share capital allows the proposed enhanced share capital           iii. Advance against shares for Increase in Capital         b. Bete asking authorized share capital allows the proposed enhanced share capital           c. Reveal Regulatory approvals have been obtained         d. There is no uncessonable delay in issue of shares against advance and all regulatory requirements relating to the increase in pald up capital have been completed.         b. Additor is staffed that such advance is against the increase of capital           1. TORK of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule II provides that 100% halicut will be allowed against subordinated Loans which huffill the conditions are specified.         10,000,000           2.4         Subordinated loans which for the portion which is fregabale within next 12 months.         10,000,000           a be half labeling to c:         10,000,168         10,000,168         10,000,000           a bub data data be adde to the Liquid Capital and revised Liquid C		a. Long-lerm financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease			
III. Advance against shares for Increase in Capital of Securities torker: 10% haircut may be allowed in respect of advance against shares is:         a. The existing authorized share capital allows the proposed enhanced share capital         b. Boad of Directs of the company has approved the increase in capital         c. Security approvals have been obtained         c. Security approvals have been occupited.         c. Security approvals have been completed.         c. Security approvals have been completed.         c. Auditor is satisfied that such advance is against the increase of capital         c. Security approvals have been completed.         c. Auditor is satisfied that such advance is against the increase of capital.         c. Other Habilities as preventing incipies and included in the financial statements.         c. Other Habilities are accounting principies and included in the financial statements.         c. Security approvals for the company have been obtained against subordinated to be deducted:         the completion of the company have been obtained against subordinated to ans which fulfill the conditions appecified by SECP are allowed to be repaid a box benegated howed against subordinated to be repaid.         to 0.000,000         to 0.000,000           2.4         Subordinated loars which do not fulfil the conditions specified by SECP         to 10,000,000         to 0.000,000         to 0.000,000           2.5         Iotal Liabilities Relating to:         10,007,66         10,000,000         to 0.000,000         to 0.000,000<		b. Other long-term financing			
expect of advince against shares if:         a. The existing authorized share capital allows the proposed enhanced share capital           b. Boad of Directors of the company has approved the Increase in capital         c. Relevant Regulatory approvals have been obtained           d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements         d. There is no unreasonable in pade up capital hards been completed           d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements         d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements           d. More is not unreasonable delay in issue of shares against advance and all regulatory requirements         d. Toms of Subordinated Loans which fulfil the conditions specified by SECP are allowed to be deducted:         10,000,000           to conditions specified by SECP. In this regard, following conditions are specified:         a. Loan agreement must be executed on stamp paper and must cleakly reflect the amount to be repaid after 12 months of reporting period         b. No harcut will be allowed against short term portion which is repayable within next 12 months.         c. In case of aaly repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital liabilities. Relating to :         d. Joano, 10,000,000         7.168           3.1         Concentration in Margin Financing.         d. Joano, 168         10,007,168         10,000,000         7.168           3.1         Concentration in Soculitis lonating and bornowing.         d. Joanout t					
a. The existing autholized share capital allows the proposed enhanced share capital         b. Bod of Directors of the company has approved the increase in capital         c. Relevant Regulatory approvals have been obtained         d. These is no unreasonable dely in its up of shares against davance and all regulatory requirements         relating to the increase in paid up capital have been completed.         e. Augitor is satisfied the increase in paid up capital have been completed.         b. Other liabilities as para ecounting principles and included in the financial statements         b. Toxe of Subcordinated loans         b. Toxe of Subcordinated loans         b. No halcout will be allowed against short lever page and us colled and subcordinated loans which fulfill the conditions against is becordinated loans which fulfill the conditions against short lever page infort davance and all regulatory regulates         a. Loan agreement must be executed on stamp pager and must clearly reflect the amount to be repaid after 1 zonnts of reparting portion         c. In case of early reparting portion         d. No halcout will be allowed against short lever portion which specified by SECP         25       Total Liabilities         10.000,108       10,000,000         7,168       10,000,100         25       Total Liabilities Relating to :         31       Concentration in Margin Financing         110       Concentration in Argin Margin Argin Argitor financing					
C. Relevant Regulatory approvals have been obtained     d. There is no unreasonable delay in this of shares against advance and all regulatory requirements     relating to the increase in paid up capital have been completed.     e. Auditor is satisfied that such advances against increase of capital.     w. Other liabilities as per accounting principles and included in the financial statements     100% of Subordinated Loans     100% of Subordinated Loans which fulfill the conditions specified by SECP are allowed to be deducted:     The Schedule III provides that 10% having and must clearly reflect the amount to be repaid     al Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid     after 12 months of reporting period     b. No haicut will be allowed agains student term of the Liquid Capital and revised Liquid     Capital statement must be excurited to exchange     i. Subordinated loans which do not fulfill the conditions specified by SECP     i. Subordinated loans which do not fulfill the conditions specified by SECP     i. Subordinated loans which do not fulfill the conditions specified by SECP     i. Subordinated loans which do not fulfill the conditions specified by SECP     i. Subordinated loans which do not fulfill the conditions specified by SECP     i. Subordinated cleant-to-cleant bas by which any amount receivable from any of the     finances exceed 10% of the aggregate of amounts receivable from any of the     finances exceed 10% of the aggregate of     i. Other institutes Relating and borrowing     The amount adjust the underwriting commitments and     ii) the to see of fight issue: If the market price of securites is less than or equal to the subscription     price: the aggregate of     ii) the case of fight issue: If the market price of securites is less than or equal to the subscription     price: the aggregate of.     ii) the case of fight issue: If the market price of securites is greater than the subscription     price: the aggregate of.     ii)		a. The existing authorized share capital allows the proposed enhanced share capital			
d. There is no urreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed.         e. Auditor is satisfied that such advance is against the increase of capital.         iv. Other liabilities are practicating principles and included in the financial statements         24       Subordinated Loans         i. 100% of Subordinated loans which fulfil the conditions specified is specified.       10,000,000         100 sequence of the s					
e. Audior is satisfied that such advance is against the increase of capital.     iv Other liabilities are per accounting principles and included in the financial statements     iu 00% of Subordinated Loans     iu 00% of Subordinated loans which fulfill the conditions specified by SCP in the regard, following conditions are specified:     a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid     after 12 months of regard, following conditions are specified:     a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid     after 12 months of regard, following conditions are specified:     a. Loan agreement must be subortited to exchange.     ii. Subordinated loans which do not fulfill the conditions specified by SEP     iter and the other state the liquid Capital and revised liquid     Capital statement must be submitted to exchange.     ii. Subordinated loans which do not fulfill the conditions specified by SEP     iter and the other state to be liquid Capital and revised liquid     Capital statement must be submitted to exchange.     ii. Subordinated loans which do not fulfill the conditions specified by SEP     iter and the other state to be liquid Capital and revised liquid     Capital statement must be submitted to exchange.     ii. Subordinated loans which do not fulfill the conditions specified by SEP     iter and the other secures length of the anguest end amount acceluated client. to - client basis by which any amount receivable from any of the     financese secured 18% of the aggregate of amount steceivable from total finances.     if the amount by which the aggregate of.     (i) Amount deposited by the borower with NCCPL     (i) Cash magins pied and     (ii) the market value of securities is less than or equal to the subscription     price. the aggregate of.     (i) the value by which the underwriting commitments and     (i) the value by which the underwriting commitments and     (i) the value by which the underw					
iv. Other itabilities as per accounting principles and included in the financela statements					
2.4       Subordinated Loans					
The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SCP. In this regard, following conditions are specified:       a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reparing period         b. No haircut will be allowed against subordinated Loans which fulfill the conditions specified by SCP. In coan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.       i. Subordinated loans which do not fulfill the conditions specified by SCP.         2.5       I total Liabilities       10,007,168       10,000,000       7,168         3       Ranking Liabilities Relating to :       10,007,168       10,000,000       7,168         3.1       Concentration in Margin Financing The amount calculated client-to-client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       10,007,168       10,000,000       7,168         3.2       Concentration in Kegurites lending and borrowing The amount deposited by the borrowing the social state stat	2.4	Subordinated Loans			
ii. Subordinated loans which do not fulfill the conditions specified by SECP       10,007,168       10,000,000       7,168         2.5       Total Liabilities       10,007,168       10,000,000       7,168         3.1       Concentration in Margin Financing       1       1         The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.       1       1         3.2       Concentration in securities lending and borrowing       1       1       1         The amount calculated client-to- client basis by which any amount receivable from total financees.       1       1       1         3.2       Concentration in securities lending and borrowing       1		The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid	10,000,000	10,000,000	
3       Ranking Liabilities Relating to :					
3       Ranking Liabilities Relating to :         3.1       Concentration in Margin Financing         The amount calculated client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees.         3.2       Concentration in securities lending and borrowing         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments         (a) In the case of right issuse : If the market value of securities is less than or equal to the subscription price: the aggregate of:         (i) the 50% of Haicut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities.         In the case of right issuse is 12.5% of the net underwriting commitments exceeds the market price of the securities.         In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary         (b) In any other case: 12.5% of the net underwriting commitments         3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency. Net position in foreign currenc	2.5	Total Liabilites	10,007,168	10,000,000	7,168
Image: Interstant and the set of the set of the subsidiary (excluding any amount receivable from any of the subsidiary) exceed the total assets of the subsidiary (excluding any amount receivable from any of the subsidiary) exceed the total assets of the subsidiary (excluding any amount receivable from the subsidiary) exceed the total assets of the subsidiary (excluding any amount receivable from the subsidiary) exceed the total assets of the subsidiary (excluding any amount the subsidiary) exceed the total assets of the subsidiary (excluding any amount the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total asset o	3				
finances exceed 10% of the aggregate of amounts receivable from total finances.         3.2       Concentration in securites lending and borrowing         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       (i) the case of right issuse : if the market value of securities is less than or equal to the subscription price; the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities.       (i) the case of rights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments       (ii) the value equity of subsidiary         3.4       Negative equity of subsidiary       (excluding any amount due from the subsidiary)       (exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary)         exceed the total liabilities of the subsidiary       (excluding any amount due from the subsidiary)       (assets denominated in foreign currency Net position in foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency       (a Amount Payable under REPO	3.1				
3.2       Concentration in securites lending and borrowing         The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       (a) in the case of right issue : if the market value of securites is less than or equal to the subscription price: the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issue where the market price of securities is greater than the subscription price; 5% of the haircut multiplied by the net underwriting commitments         3.4       Negative equity of subsidiary         3.5       Foreign exchange agreements and foreign currency positions         3.5       Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO					
The amount by which the aggregate of:       (i) Amount deposited by the borrower with NCCPL         (ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares         borrowed       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares         3.3       Net underwriting Commitments         (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:         (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities.         In the case of right issuse where the market price of securities is greater than the subscription price; 5% of the Haircut multiplied by the net underwriting commitments         (b) In any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)         exceed the total liabilities of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency less total liabilities denominated in foreign currency         assets denominated in foreign currency less total liabilities denominated in foreign currency	3.2				
(ii) Cash margins paid and       (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed         3.3       Net underwriting Commitments       (a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of: <ul> <li>(i) the solw of Haircut multiplied by the underwriting commitments and</li> <li>(ii) the value by which the underwriting commitments exceeds the market price of the securities.</li> <li>(i) the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments</li> <li>(b) in any other case : 12.5% of the net underwriting commitments</li> <li>(c) bi nany other case: 12.5% of the subsidiary</li> <li>(c) the subsidiary</li> <li>(c) the adjuice of the subsidiary</li> <li>(c) the total assets of the subsidiary (excluding any amount due from the subsidiary)</li> <li>(c) exceed the total liabilities of the subsidiary (excluding currency means the difference of total assets denominated in foreign currency. Net position in foreign currency</li> <li>(c) anount Payable under REPO</li> </ul>		The amount by which the aggregate of:			
(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed					
3.3       Net underwriting Commitments					
(a) in the case of right issuse : if the market value of securites is less than or equal to the subscription price; the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and         (ii) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the value by which the underwriting commitments and         (ii) the value by which the underwriting commitments exceeds the market price of the securities.       In the case of right issues where the market price of securities is greater than the subscription price, 5% of         (b) in any other case : 12.5% of the net underwriting commitments       (ii) the subsidiary         3.4       Negative equity of subsidiary         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)       (iii) the subsidiary         3.5       Foreign exchange agreements and foreign currency positions       (ii) the net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO       (ii) the subsidiary					
price; the aggregate of:       (i) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the 50% of Haircut multiplied by the underwriting commitments exceeds the market price of the securities.         In the case of rights issues where the market price of securities is greater than the subscription price, 5% of       (ii) the value by which the underwriting         (b) in any other case : 12.5% of the net underwriting commitments       (ii) the subsidiary         3.4       Negative equity of subsidiary         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)       (iii) the subsidiary         3.5       Foreign exchange agreements and foreign currency positions       (iii) foreign currency.Net position in foreign currency         3.6       Amount Payable under REPO       (ii) any other REPO       (iii) the subsidiary	3.3				
(i) the 50% of Haircut multiplied by the underwriting commitments and       (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issues where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments         (b) in any other case : 12.5% of the net underwriting commitments       (ii) the subsidiary         (b) in any other case : 12.5% of the net underwriting commitments       (iii) the subsidiary         3.4       Negative equity of subsidiary       (iii) the subsidiary (excluding any amount due from the subsidiary)         exceed the total liabilities of the subsidiary       (iii) the net position in foreign currency positions         3.5       Foreign exchange agreements and foreign currency means the difference of total assets denominated in foreign currency. Net position in foreign currency         3.6       Amount Payable under REPO       (ii) the subsidiary					
In the case of lights issue where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting       (b) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       (b) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary       (c) in any other case : 12.5% of the subsidiary (excluding any amount due from the subsidiary)         exceed the total liabilities of the subsidiary       (c) in any other case : 12.5% of the subsidiary (excluding any amount due from the subsidiary)         3.5       Foreign exchange agreements and foreign currency positions       (c) in any other case : 12.5% of the net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO       (c) in any other case : 12.5% of the net position in foreign currency		(i) the 50% of Haircut multiplied by the underwriting commitments and			
the Haircut multiplied by the net underwriting         (b) in any other case : 12.5% of the net underwriting commitments         3.4       Negative equity of subsidiary         The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)         exceed the total liabilities of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency.Net position in foreign currency         assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO					
3.4       Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary       Image: Constraint of the subsidiary         3.5       Foreign exchange agreements and foreign currency positions 5% of the net position in foreign currency. Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       Image: Constraint of the subsidiary         3.6       Amount Payable under REPO       Image: Constraint of the subsidiary		the Haircut multiplied by the net underwriting			
3.5       Foreign exchange agreements and foreign currency positions         5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency         3.6       Amount Payable under REPO	2 4				
5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency less total liabilities denominated in foreign currency       3.6         3.6       Amount Payable under REPO	5.4	The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
assets denominated in foreign cuurency less total liabilities denominated in foreign currency       3.6       Amount Payable under REPO	3.5	Foreign exchange agreements and foreign currency positions			
3.6 Amount Payable under REPO					
	3.6				

Schedule III [ See regulation 6(4) ]

## Liquid Capital Statement

for the month of **31-OCT-22** 

of M/s. Mohammed Hussain Ismail Securities (Pvt.

Submission Date 02-NOV-2022 12:12:19

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
3.8	Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser. Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	2,584,160	2,584,160
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	2,584,160	2,584,160
3.12	Liquid Capital	39,610,293	233,889	34,208,084